

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,808	03/08/2001	Andrew D. Firlik	337348020US1

CONFIRMATION NO. 8272

FORMALITIES LETTER



OC00000006102854

25096
PERKINS COIE LLP
PATENT-SEA
P.O. BOX 1247
SEATTLE, WA 98111-1247

Date Mailed: 05/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/03/2001 BABRAHA1 00000048 500665 09802808

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 CH
02 FC:201 927.00 CH
03 FC:201 336.00 CH
04 FC:201 65.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2574.
 - \$1854 for 103 total claims over 20.
 - \$720 for 9 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3414.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

TOTAL \$ 3414

A copy of this notice MUST be returned with the reply.

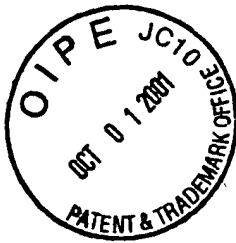


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, DC 20231.

September 24, 2001

Date

Rena Iov

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Andrew D. Firlik, Jeffrey Balzer, Bradford E. Gliner, Alan J. Levy, and Carlton B. Morgan

Application No. : 09/802,808 Confirmation No.: 8272

Filed : March 8, 2001

For : METHODS AND APPARATUS FOR EFFECTUATING A LASTING CHANGE IN A NEURAL-FUNCTION OF A PATIENT

Docket No. : 337348020US1

Date : September 24, 2001

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated May 22, 2001, please find enclosed a Declaration, Power of Attorney, Authorization for Extensions of Time Under 37 CFR § 1.136(a)(3), and a copy of a Notice to File Missing Parts of Nonprovisional Application for the above-identified application.

Please find enclosed a substitute specification (72 pages) in compliance with 37 CFR § 1.52.

Please find enclosed a Petition for an Extension of Time, extending the time for response from July 22, 2001 to September 22, 2001.

The fees have been calculated taking into consideration the small entity status as follows:

Basic Fee	\$	355.00
Total Claims (123, 103 extra)		927.00
Independent Claims (11, 8 extra)		320.00
Multiple Dependent Claims Surcharge		0
Missing Parts Surcharge		65.00
Total	\$	1667.00
Extension-of-Time Fee		195.00
TOTAL	\$	1862.00

The Commissioner for Patents is hereby authorized to charge Deposit Account No. 50-0665 in the amount of \$ 1862.00 to cover the requisite fees. Additionally, the Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 50-0665. A duplicate copy of this response is enclosed.

Respectfully submitted,

Perkins Coie LLP



Paul T. Parker

Registration No. 38,264

PTP:ri

Enclosures:

- Postcard
- Copy of this Response
- Specification (72 pages)
- Declaration
- Power of Attorney
- Authorization for Extensions of Time Under 37 CFR § 1.136(a)(3)
- Copy of Notice to File Missing Parts of Nonprovisional Application
- Petition for an Extension of Time (+ 2 copies)

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